

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: T. UCHIDA, et al.
Application No.: 09/869,347
Filed: SEPTEMBER 7, 2001
For: MATERIALS FOR POLISHING LIQUID FOR METAL,
POLISHING LIQUID FOR METAL, METHOD FOR
PREPARATION THEREOF AND POLISHING METHOD USING
THE SAME
Group AU: 2813
Examiner: L. M. Schillinger
Confirm. No.: 4090

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Mail Stop: RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

April 18, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of International (PCT) Patent Application Publication No. WO 98/13536, for consideration during continued prosecution of the above-identified application. This document was found in connection with a corresponding Korean Office Action, and is similar to the Patent Document KR 1998-024900 cited in the Korean Office Action. Also enclosed is a Form PTO/SB/08A, listing the enclosed document.

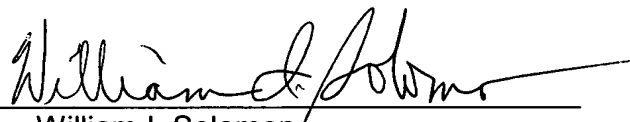
This Information Disclosure Statement is being submitted concurrently with the filing of a Request for Continued Examination (RCE) Transmittal in the above-identified application, and as the necessary Submission therefor. Thus, the RCE presently submitted is proper, and consideration of the enclosed document is clearly proper, notwithstanding prior mailing of a Notice of Allowance and Fee(s) Due mailed February 7, 2007, in the above-identified application.

In view of all of the foregoing, consideration of the enclosed document, and subsequent thereto, re-issuance of a Notice of Allowance and Fee(s) Due in due course, are respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 1204.40319X00), and please credit any excess fees to such Deposit Account.

Respectfully submitted,

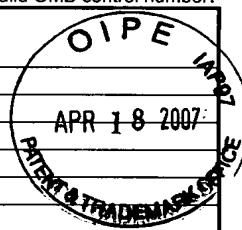
ANTONELLI, TERRY, STOUT & KRAUS, LLP

By 
William I. Solomon,
Reg. No. 28,565

WIS/ksh
1300 North Seventeenth Street
Suite 1800
Arlington, Virginia 22209
Tel: 703-312-6600
Fax: 703-312-6666

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|--------------------------|------------------------|---------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT April 18, 2007 (use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 09/869,347 | |
| | | | Filing Date | September 7, 2001 | |
| | | | First Named Inventor | T. UCHIDA | |
| | | | Art Unit | 2813 | |
| | | | Examiner Name | L.M. Schillinger | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 1204.40319X00 |



| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------------------|--------------------------|--|--|--------------------------------|--|---|
| Examiner Initials ¹ | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|-----------------------------------|--------------------------|---|--|--------------------------------|--|---|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | | |
| | | WO 98/13536 | | 04/02/1998 | V. B. Kaufman, et al. | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|-----------------------------------|--------------------------|---|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | | |
| | | | |
| | | | |
| | | | |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.